

Statement of Economic Interests

Filed in 2010 for calendar year 2009 by

Kessler, Frederick P.

Legislature
Assembly District 12

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LEGISLATIVE ETHICS BOARD
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FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.
Still have questions? For priority service send an e-mail to: GABEthics@wi.gov; otherwise leave a detailed message at (608) 261-2028.
ATTACH ADDITIONAL PAGES AS NEEDED/PLEASE SEE INSTRUCTIONS

Part A

As of December 31, 2009

1. INVESTMENTS

a) WISCONSIN DEFERRED COMPENSATION PROGRAM

If you held an investment in a fund available within the Wisconsin Deferred Compensation Program, please place a checkmark next to each fund in which you held \$5,000 or more, whether held privately or through the Program.

Profile Series	More		Small Cap	More		Bond	More	
	\$5,000 to \$50,000	than \$50,000		\$5,000 to \$50,000	than \$50,000		\$5,000 to \$50,000	than \$50,000
Vanguard Retirement 2045	<input type="checkbox"/>	<input type="checkbox"/>	BGI Russell 2000 Index	<input type="checkbox"/>	<input type="checkbox"/>	BGI US Debt Index	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2035	<input type="checkbox"/>	<input type="checkbox"/>	DFA US Micro Cap Portfolio	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Federated US Government Securities 2-5yr	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2025	<input type="checkbox"/>	<input type="checkbox"/>	Mid Cap			Vanguard Long-term Investment Grade Adm	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2015	<input type="checkbox"/>	<input type="checkbox"/>	BGI Mid Cap Equity Index	<input type="checkbox"/>	<input type="checkbox"/>	Money Market		
Vanguard Target Retirement Income	<input type="checkbox"/>	<input type="checkbox"/>	T Rowe Price Mid Cap Growth	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Admiral Treasury Money Market	<input type="checkbox"/>	<input type="checkbox"/>
International			Large Cap			Fixed Returns for the Quarter		
American Euro Pacific Growth	<input type="checkbox"/>	<input type="checkbox"/>	Calvert Social Investment Equity	<input type="checkbox"/>	<input type="checkbox"/>	Stable Value	<input type="checkbox"/>	<input type="checkbox"/>
BGI EAFE Equity Index	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Fidelity Contrafund	<input type="checkbox"/>	<input checked="" type="checkbox"/>	FDIC Bank Option	<input type="checkbox"/>	<input type="checkbox"/>
			Vanguard Institutional Index Plus	<input checked="" type="checkbox"/>	<input type="checkbox"/>			
			Vanguard Wellington-Admiral Shares	<input type="checkbox"/>	<input type="checkbox"/>			

b) OTHER INVESTMENTS

List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

MUTUAL OR MONEY MARKET FUND

(check one) \$5,000 to \$50,000 More than \$50,000

Boston Co Small Cap Value	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Capitol Income Builder	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Growth Fund of America	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Heartland Small Cap	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Heartland Value Plus	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legg Mason Inc	<input type="checkbox"/>	<input checked="" type="checkbox"/>
New Economy Fund	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Small Cap World	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Templeton World	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

See attached list

STOCKS/OPTIONS/FUTURES

(check one) \$5,000 to \$50,000 More than \$50,000

M & I Bank	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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Medevante Technologies	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

BONDS	(check one)	\$5,000 to \$50,000	More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

LIMITED PARTNERSHIPS	(check one)	\$5,000 to \$50,000	More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

WISCONSIN GOVERNMENTAL SECURITIES	(check one)	\$5,000 to \$50,000	More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. BUSINESS ACTIVITIES List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
11221 W. Sanctuary Drive	Milwaukee	Milwaukee	WI	Labor Arbitration
Asset Growth Elective LLC	Milwaukee	Milwaukee	WI	Resort Investment, Province of Guadeloupe, France

b) Enterprise(s) NOT operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business

3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, list businesses, organizations, and lobbyists that paid the enterprise \$1,000 or more in calendar year 2009.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
American Federation of Government Employees	Richland	WA	<input type="checkbox"/>
Anheuser Busch Company	Manitowoc	WI	<input type="checkbox"/>
City of Milwaukee Employee Retirement Systems	Milwaukee	WI	<input type="checkbox"/>
Department of Energy	Richland	WA	<input type="checkbox"/>
Oshkosh Truck Corp	Oshkosh	WI	<input type="checkbox"/>
Oshkosh Truck Corp	Oshkosh	WI	<input type="checkbox"/>
Teamsters Local 117	Seattle	WA	<input type="checkbox"/>
United Auto Workers Union	Oshkosh	WI	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

See attached list

4. BUSINESS PARTNERS For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State
Asset Growth Elective LLC	Frederick P. Kessler	Milwaukee	WI
Asset Growth Elective LLC	Joan F. Kessler	Milwaukee	WI

5. NON-COMMERCIAL REAL ESTATE List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you already listed in item 2).

Location of property Street address or fire number	Municipality or town	County	Nature of interest (e.g. own, lease, option, easement, land contract)
Vacant land (no fire no.) Santura Rd.	Troy Township	Sauk	own

6. OFFICERS AND DIRECTORS List organizations of which you or a family member was an officer or director (unless already listed in item 2).

Business or organization	City	State	Position

7. AGENT, REPRESENTATIVE OR SPOKESPERSON List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless already listed in item 2, 3 or 6).

Business or organization	City	State

8. CREDITORS List creditors to which you or your family owed \$5,000 or more.

Creditor	City	State	(check one)	
			\$5,000 to \$50,000	More than \$50,000
U.S. Bank	Milwaukee	WI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

Part B **For calendar year 2009**

9. EMPLOYERS List your and your family's EMPLOYERS (\$1,000 or more of income) in 2009.

Name of employer (If State of Wisconsin, also identify agency or institution)	City	State	Nature of employer's business
State of WI - Court of Appeals	Madison	WI	State Government
State of WI - Legislature	Madison	WI	State Government

10. ADDITIONAL SOURCES OF INCOME List other sources from which you or your family received income of \$1,000 or more in 2009.

Source of income	City	State
Foley & Lardner Partners Retirement Plan	Milwaukee	WI
Milwaukee County Employees Retirement System	Milwaukee	WI
Wisconsin State Retirement System	Madison	WI

11. ENTERTAINMENT AND GIFTS List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2009.

Name of provider	City	State

12. HONORARIA AND EXPENSES List, for 2009, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.


Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

FILING NOTES -or- COMMENTS

If we saw an opportunity to clarify a prior report, we added comments or filing tips below. Please review any comments and make the appropriate corrections.

CERTIFICATION

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.

	Daytime phone # (608) 266-5813
	Rep.Kessler@legis.state.wi.us
Signature of person filing	E-mail address

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Mail or fax to: Wisconsin Government Accountability Board, P.O. Box 7984, Madison, WI 53707-7984; Fax: (608) 264-9319

Eth 1 Personalized. For use in 2010

PART A

QUESTION 1. INVESTMENTS

<u>Stocks</u>	<u>minus \$50,000</u>	<u>plus \$50,000</u>
Medevante Technologies	x	
<u>Mutual or Money Market Funds</u>		
BGIF EAFE Equity Index	x	
Capitol Income Builders	x	
Growth Fund of America	x	
New Economy Fund	x	
Small Cap World Fund	x	
DFA US Micro Cap	x	
Fidelity Contra Fund		x
Fidelity Contra Fund (Wis. Def. Comp)	x	
Heartland Small Cap		x
Heartland Value Plus	x	
Vanguard Institutional Index		x
Vanguard Institutional Index (Wis. Def. Comp)	x	
Boston Co Small Cap Value Fund		x
Templeton World Fund		x
Nicholas Applegate Intl Growth Opp		x

QUESTION 2. BUSINESS ACTIVITIES

11221 W. Sanctuary Dr.	Milwaukee, WI	Labor Arbitration
Asset Growth Elective LLC	Milwaukee, WI	Resort Investment, Province of Guadeloupe, France

QUESTION 3. CLIENTS THAT PAID MORE THAN \$1000 IN 2009

Exon/Mobile	Billings	MT
United Auto Workers Union	Sheboygan	WI
United Steelworkers Union.	Billings	MT
City of Milwaukee Employee Retirement Systems	Milwaukee	WI
Teamsters Union	Manitowoc	WI
International Longshoremen Union	Burns Harbor	IN
Iowa AFSCME Council 61	Des Moines	IA

QUESTION 4. BUSINESS PARTNERS

Asset Growth Elective LLC	Joan F. Kessler	Milwaukee, WI
	Frederick P. Kessler	Milwaukee, WI

