

# Statement of Economic Interests

Filed in 2010 for calendar year 2009 by

**Kaufert, Dean R.**

Legislature

Assembly District 55

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FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTIONS OR VISIT OUR WEBSITE AT <http://ethics.state.wi.us>.  
Still have questions? For priority service send an e-mail to: [GABEthics@wi.gov](mailto:GABEthics@wi.gov); otherwise leave a detailed message at (608) 261-2028.  
ATTACH ADDITIONAL PAGES AS NEEDED/PLEASE SEE INSTRUCTIONS

## Part A

As of December 31, 2009

### 1. INVESTMENTS

**a) WISCONSIN DEFERRED COMPENSATION PROGRAM** If you held an investment in a fund available within the Wisconsin Deferred Compensation Program, please place a checkmark next to each fund in which you held \$5,000 or more, whether held privately or through the Program.

	\$5,000 to \$50,000	More than \$50,000		\$5,000 to \$50,000	More than \$50,000		\$5,000 to \$50,000	More than \$50,000
<b>Profile Series</b>			<b>Small Cap</b>			<b>Bond</b>		
Vanguard Retirement 2045	<input type="checkbox"/>	<input type="checkbox"/>	BGI Russell 2000 Index	<input type="checkbox"/>	<input type="checkbox"/>	BGI US Debt Index	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2035	<input type="checkbox"/>	<input type="checkbox"/>	DFA US Micro Cap	<input type="checkbox"/>	<input type="checkbox"/>	Federated US Government Securities 2-5yr	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2025	<input type="checkbox"/>	<input type="checkbox"/>	<b>Mid Cap</b>			Vanguard Long-term Investment Grade Adm	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Retirement 2015	<input type="checkbox"/>	<input type="checkbox"/>	BGI Mid Cap Equity Index	<input type="checkbox"/>	<input type="checkbox"/>	<b>Money Market</b>		
Vanguard Target Retirement Income	<input type="checkbox"/>	<input type="checkbox"/>	T Rowe Price Mid Cap Growth	<input type="checkbox"/>	<input type="checkbox"/>	Vanguard Admiral Treasury Money Market	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>International</b>			<b>Large Cap</b>			<b>Fixed Returns for the Quarter</b>		
American Euro Pacific Growth	<input type="checkbox"/>	<input type="checkbox"/>	Calvert Social Investment Equity	<input type="checkbox"/>	<input type="checkbox"/>	Stable Value	<input type="checkbox"/>	<input type="checkbox"/>
BGI EAFE Equity Index	<input type="checkbox"/>	<input type="checkbox"/>	Fidelity Contrafund	<input type="checkbox"/>	<input type="checkbox"/>	FDIC Bank Option	<input type="checkbox"/>	<input type="checkbox"/>
			Vanguard Institutional Index Plus	<input type="checkbox"/>	<input type="checkbox"/>			
			Vanguard Wellington - Admiral Shares	<input checked="" type="checkbox"/>	<input type="checkbox"/>			

**b) OTHER INVESTMENTS** List stocks, bonds, limited partnerships, Wisconsin governmental securities, and mutual and money market funds in which you and your family's interest was valued at \$5,000 or more.

#### MUTUAL OR MONEY MARKET FUND

(check one) \$5,000 to \$50,000      More than \$50,000

American Funds Growth Fund of America	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Dain Rauscher Money Market	<input checked="" type="checkbox"/>	<input type="checkbox"/>
MFS Research Fund	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

#### STOCKS/OPTIONS/FUTURES

(check one) \$5,000 to \$50,000      More than \$50,000

Associated Bank	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Celgene Corp.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Diebold Inc.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Fastenal Co	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Intel Corp.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Kimberly Clark Corp.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Manitowoc Company	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Pepsico Corp.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sara Lee Corp.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Stryker Corporation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Walt Disney Company	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yum Brands Inc.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>

<b>BONDS</b>	(check one)	\$5,000 to \$50,000	More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<b>LIMITED PARTNERSHIPS</b>	(check one)	\$5,000 to \$50,000	More than \$50,000
DMAT, LLP	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<b>WISCONSIN GOVERNMENTAL SECURITIES</b>	(check one)	\$5,000 to \$50,000	More than \$50,000
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**2. BUSINESS ACTIVITIES** List businesses, farms, rental, commercial, and income-producing real estate; and business activities in which you or your family had at least a 10% or greater interest.

a) Enterprise(s) operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
All-Sport Trophy	Neenah	Winnebago	WI	Retail awards
BD Investment Club	Neenah	Winnebago	WI	Investment club
DMAT, LLP	Neenah	Winnebago	WI	Sports bar & grill property
Under The Dome Inc.	Neenah	Winnebago	WI	Sports bar & grill business

b) Enterprise(s) NOT operating under a business or trade name, list here.

Name of business	Municipality or Town	County	State	Describe nature of business
1273-75 Primrose Lane	Neenah	Winnebago	WI	Investment Property
1279-81 Primrose Lane	Neenah	Winnebago	WI	Investment Property
967-969 Appleblossom Lane	Neenah	Winnebago	WI	Investment Property

**3. COMMERCIAL CUSTOMERS, CLIENTS, AND TENANTS** For each unincorporated business, subchapter S corporation, service corporation (SC), limited liability company (LLC), partnership, or income-producing real estate reported in Item 2, list businesses, organizations, and lobbyists that paid the enterprise \$1,000 or more in calendar year 2009.

Check if the organization authorized you to represent it in its dealings with others as an attorney-at-law, agent, spokesperson, or representative.

Businesses, organizations, lobbyists that were customers, clients, or tenants	City	State	"✓"
Amusements Devices, Inc.	Menasha	WI	<input type="checkbox"/>
City of Neenah	Neenah	WI	<input type="checkbox"/>
Fox river Racing Club	Neenah	WI	<input type="checkbox"/>
Inter-City Personnell	Appleton	WI	<input type="checkbox"/>
Menasha Area Soccer Club	Menasha	WI	<input type="checkbox"/>
Menasha Corporation	Neenah	WI	<input type="checkbox"/>
Menasha Joint School District	Menasha	WI	<input type="checkbox"/>
Neenah Baseball	Neenah	WI	<input type="checkbox"/>
Neenah Joint School District	Neenah	WI	<input type="checkbox"/>
Neenah-Menasha YMCA	Neenah	WI	<input type="checkbox"/>
Paper Valley Youth Soccer	Neenah	WI	<input type="checkbox"/>
Sabre Lanes	Menasha	WI	<input type="checkbox"/>
State of Wisconsin	Madison	WI	<input type="checkbox"/>
Suburban Athletics	Neenah	WI	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

**4. BUSINESS PARTNERS** For each enterprise reported under Item 2, list its co-owners, partners, officers, and directors (other than yourself), unless the information is already registered with the Wisconsin Department of Financial Institutions.

Business	Partners, or officers and directors	City	State
BD Investment Club	Brian Mishun	Neenah	WI
BD Investment Club	Gary Henschel, Jim Wisman	Neenah	WI
BD Investment Club	Jason Olson, Harvey Walbrun, Brett Olson,	Neenah	WI
BD Investment Club	Jeff Montour, George Kleinschmi	Neenah	WI
BD Investment Club	Lance Olson, Mike Jovanovich	Neenah	WI
BD Investment Club	Terry Sullivan, Kevin Pullen, Scott Olson	Neenah	WI

**5. NON-COMMERICAL REAL ESTATE** List the specific location of WISCONSIN REAL ESTATE in which you or your family had an interest (except your principal residence and real estate whose location you already listed in item 2).

Location of property Street address or fire number	Municipality or town	County	Nature of interest (e.g. own, lease, option, easement, land contract)
1360 Alpine Lane	Neenah	Winnebago	own
15379 County Hwy W	Rieerview	Oconto	own

**6. OFFICERS AND DIRECTORS** List organizations of which you or a family member was an officer or director (unless already listed in item 2).

Business or organization	City	State	Position
Under The Dome Inc.	Neenah	WI	President

**7. AGENT, REPRESENTATIVE OR SPOKESPERSON** List organizations that authorized you or a family member to represent them in their dealings with others as an attorney-at-law, agent, spokesperson, or representative (unless already listed in item 2, 3 or 6).

Business or organization	City	State
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**8. CREDITORS** List creditors to which you or your family owed \$5,000 or more. (check one)

Creditor	City	State	\$5,000 to \$50,000	More than \$50,000
Prospera Credit Union	Neenah	WI	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Washington Mutual	Neenah	WI	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

**Part B** **For calendar year 2009**

**9. EMPLOYERS** List your and your family's EMPLOYERS (\$1,000 or more of income) in 2009.

Name of employer (if State of Wisconsin, also identify agency or institution)	City	State	Nature of employer's business
All-Sport Trophy	Neenah	WI	Retail awards
Northstar Asset Management	Menasha	WI	Management
State of WI - Legislature	Madison	WI	State Government
Under The Dome Inc.	Neenah	WI	Hospitality Industry

**10. ADDITIONAL SOURCES OF INCOME** List other sources from which you or your family received income of \$1,000 or more in 2009.

Source of income	City	State
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**11. ENTERTAINMENT AND GIFTS** List individuals and organizations that provided you with entertainment or gifts (more than \$50) in 2009.

Name of provider	City	State
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**12. HONORARIA AND EXPENSES** List, for 2009, sources of honoraria and payment of expenses related to your state government duties (more than \$50) not previously reported to the Government Accountability Board.

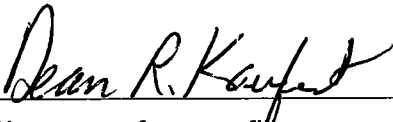
Payer	Approximate value of expenses	Amount of honorarium	Circumstances of receipt

**FILING NOTES -or- COMMENTS**

*If we saw an opportunity to clarify a prior report, we added comments or filing tips below. Please review any comments and make the appropriate corrections.*

**CERTIFICATION**

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete, and correct to the best of my knowledge, information, and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.

	Daytime phone # (608) 266-5719
Signature of person filing	Date 2/15/2010
	E-mail address Rep.Kaufert@legis.state.wi.us

The information sought in this form is required by §§19.43 and 19.44, *Wisconsin Statutes*. Failure to file a completed form may result in a forfeiture of up to \$500. Statements of Economic Interests are open for public inspection. The Government Accountability Board will notify you of the identity of any person who examines your Statement. In accordance with §15.04(1)(m), *Wisconsin Statutes*, the Government Accountability Board states that no personally identifiable information is likely to be used for purposes other than those for which it is collected.

Mail or fax to: Wisconsin Government Accountability Board, P.O. Box 7984, Madison, WI 53707-7984; Fax: (608) 264-9319  
*Eth 1 Personalized. For use in 2010*